

Avoiding Large Squares in Partial Words*

F. Blanchet-Sadri¹ Ilkyoo Choi² Robert Mercas³

November 30, 2010

Abstract

Well-known results on the avoidance of large squares in (full) words include: (1) Fraenkel and Simpson showed that we can construct an infinite binary word containing at most three distinct squares; (2) Entringer, Jackson and Schatz that there exists an infinite binary word avoiding all squares of the form xx such that $|x| \geq 3$, and that the bound 3 is optimal; (3) Dekking that there exists an infinite cube-free binary word that avoids all squares xx with $|x| \geq 4$, and that the bound of 4 is best possible. In this paper, we investigate these avoidance results in the context of partial words, or sequences that may have some undefined symbols called holes. Here, a square has the form uv with u and v compatible, and consequently, such square is compatible with a number of full words that are squares over the given alphabet. We show that (1) holds for partial words with at most two holes. We prove that (2) extends to partial words having infinitely many holes. Regarding (3), we show that there exist binary partial words with infinitely many holes that avoid cubes and have only eleven full word squares compatible with factors of it. Moreover, this number is optimal, and all such squares xx satisfy $|x| \leq 4$.

Keywords: Combinatorics on words; Partial words; Squares; Cubes.

*This material is based upon work supported by the National Science Foundation under Grant No. DMS-0754154. The Department of Defense is also gratefully acknowledged. A World Wide Web server interface has been established at www.uncg.edu/cmp/research/squares2 for automated use of the program. We thank the referees of a preliminary version of this paper for their very valuable comments and suggestions.

¹Department of Computer Science, University of North Carolina, P.O. Box 26170, Greensboro, NC 27402-6170, USA, blanchet@uncg.edu

²Department of Mathematical Sciences, Carnegie Mellon University, 5032 Forbes Ave., Pittsburgh, PA 15289, USA

³GRLMC, Universitat Rovira i Virgili, Departament de Filologies Romniques, Av. Catalunya 35, Tarragona, 43002, Spain, robertmercás@gmail.com

1 Introduction

The existence of square-free words over a three-letter alphabet has been proven several times in various ways, first by Thue in [14], in which he also showed the avoidance of the triples aca and $bc b$. According to Currie, [3], “One reason for this sequence of rediscoveries is that non-repetitive sequences have been used to construct counterexamples in many areas of mathematics: ergodic theory, formal language theory, universal algebra and group theory, for example”

For full words, the question of how many distinct squares a binary word contains was first raised in [6]. There, Entringer, Jackson and Schatz give a construction for an infinite binary word that avoids all squares xx with $|x| \geq 3$, and show that the bound 3 is the best possible. More precisely, using the notation from [7], they obtain $g(5) = \infty$, where $g(n)$ denotes the maximum length of a binary word containing no more than n squares. In [7], Fraenkel and Simpson improve the result by showing that there actually exists an infinite binary word containing only the squares a^2 , b^2 and $(ab)^2$. Their construction, involving several steps and non-uniform morphisms, was simplified by Rampersad, Shallit and Wang in [13], where two uniform morphisms are used. We also mention the nice and short proof given in [9]. Moreover in [5], Dekking shows that there exists an infinite cube-free binary word that avoids all squares xx such that $|x| \geq 4$. He also proves that the bound 4 is best possible.

In this paper, we investigate the avoidance of large squares in partial words, sequences over a finite alphabet that may contain some “holes” denoted by \diamond 's (here \diamond is compatible with, or matches, any symbol of the alphabet). In this context, a square has the form uv where u is *compatible* with v , and consequently, such square is compatible with a number of full words that are squares over the alphabet. Square and cube-freeness of partial words have been studied for the first time by Manea and Mercuş [11]. See also the more recent results in [2] and in [8]. One of our goals is to compute, whenever it exists, $g_h(n)$, the maximum length of a binary partial word with h holes and having no more than n distinct full squares compatible with factors of it. We also investigate the avoidance of large squares in cube-free binary partial words.

The contents of our paper are as follows: In Section 2, we compute all the $g_h(n)$'s, extending the results from [7]. In particular, we show that all infinite binary partial words whose factors are compatible with no more than three distinct full squares have at most two holes. Moreover, we show that there exist arbitrarily long binary partial words having three or four holes

and at most three distinct full squares compatible with factors of them. We also construct a binary word with infinitely many holes such that the only full squares compatible with factors of it are aa , bb , $abab$ and $bbbb$. Hence, we extend the result of Entringer, Jackson and Schatz to partial words with infinitely many holes. In Section 3, we extend to partial words the result of Dekking. We show that for at most two holes there exist infinite cube-free binary partial words avoiding all squares xx such that $|x| \geq 4$, and that the bound four given by Dekking, regarding the length of the squares allowed, is exceeded for more than two holes. In Section 4, we investigate the possibility of obtaining less squares by increasing the alphabet size. We build an infinite partial word with one hole over the ternary alphabet $\{a, b, c\}$ such that the only full square compatible with factors of it is aa . It turns out that the position of the hole must be the first.

We end this section with an overview of basic concepts of combinatorics on partial words. The reader is referred to [1] for more background material.

Let A be a non-empty finite set of symbols called an *alphabet*. Each element $a \in A$ is called a *letter*. A *full word* over A is a sequence of letters from A . A *partial word* over A is a sequence of symbols from $A_\diamond = A \cup \{\diamond\}$, the alphabet A being augmented with the “hole” symbol \diamond (a *full word* is a partial word without holes). We will denote by $u(i)$ the symbol at position i of the partial word u , $i \geq 0$. The set containing all full words over A is denoted by A^* , while the set of all partial words over A is denoted by A_\diamond^* .

The *length* of a partial word u is denoted by $|u|$ and represents the number of symbols in u . The *empty word* is the sequence of length zero and is denoted by ε . For a partial word u , the powers of u are defined recursively by $u^0 = \varepsilon$ and for $n \geq 1$, $u^n = uu^{n-1}$. Furthermore, $\lim_{n \rightarrow \infty} u^n$ is denoted by u^ω .

If u and v are two partial words of equal length, then u is said to be *contained in* v , denoted $u \subset v$, if $u(i) = v(i)$ for all i such that $u(i) \in A$. Partial words u and v are *compatible*, denoted $u \uparrow v$, if there exists a partial word w such that $u \subset w$ and $v \subset w$. If u, v are non-empty compatible partial words, then uv is called a *square*. If u, v, w are non-empty partial words, then uvw is called a *cube* if there exists a partial word x such that $u \subset x, v \subset x$ and $w \subset x$. Whenever we refer to a square uv , it will imply that $u \uparrow v$. A partial word is *square-free* (respectively, *cube-free*) if it contains no squares (respectively, cubes).

A partial word u is a *factor* of a partial word v if there exist x, y such that $v = xuy$ (the factor u is *proper* if $u \neq \varepsilon$ and $u \neq v$), and u is an *internal factor* of v if x, y are non-empty. We say that u is a *prefix* of v if $x = \varepsilon$ and

a *suffix* of v if $y = \varepsilon$. For partial words u, v, w with $w = uv$, we will also denote v by $u^{-1}w$, and u by wv^{-1} .

2 Avoiding Large Squares in Binary Partial Words

Let $g_h(n)$ be the length of a longest binary partial word with h holes and having at most n distinct full squares compatible with factors of it if such words exist, and $g_h(n)$ is undefined in the case where there exist arbitrarily long binary partial words but there does not exist any infinite partial word satisfying the above conditions. In this section, we show how the sequence $\{g_h(n)\}$ behaves. The case of $h = 0$ appears in [7]. There, it is shown that $g_0(1) = 7$ ($aaabaaa$, $abaaaba$ and their complements, $abbbabb$ and its reverse and their complements), while $g_0(2) = 18$ (the only word having this property is $abaabbaaabbaabbab$ and its complement which is also its reverse). It is also shown that there exists an infinite binary word which has only three different squares, that is, $g_0(n) = \infty$ for all integers $n \geq 3$. Simpler proofs of this latter result appear in [13, 9].

Using a computer program we found that for one hole, $g_1(0) = 1$ (\diamond), $g_1(1) = 5$ ($\diamond aaba$), $g_1(2) = 16$ ($\diamond abbaaabbaabbab$); two holes, $g_2(0) = 0$, $g_2(1) = 3$ ($\diamond a \diamond$), $g_2(2) = 14$ ($\diamond abbaaabbaab \diamond$); three holes, $g_3(0) = 0$, $g_3(1) = 0$, $g_3(2) = 9$, and the only words containing two distinct squares are $\diamond \diamond$, $\diamond a \diamond b \diamond$, $\diamond a \diamond b b a \diamond$, $\diamond a b b \diamond a a b \diamond$, their reverses and complements. Moreover, for more than three holes no word exists containing less than three squares. So for $h > 3$, $g_h(n) = 0$ for all non-negative integers $n \leq 2$. We refer the reader to Table 1 that lists the $g_h(n)$'s that will be derived in this paper.

In order to prove our results we make use of some morphisms given by Rampersad, Shallit and Wang in [13]. Let us first recall these, together with some results obtained there. Let $\alpha : \{a, b, c, d, e\}^* \rightarrow \{a, b, c, d, e\}^*$ (respectively, $\beta : \{a, b, c, d, e\}^* \rightarrow \{a, b\}^*$) be the five-letter 24-uniform morphism (respectively, the 6-uniform morphism) defined as follows:

$$\begin{aligned}
 a &\mapsto abcdbcabcdeabcabcdbcde \\
 b &\mapsto abcabcdbcdedcdeabcdbcdedcde \\
 c &\mapsto abcabcdbcdedcdeabcdbcdedcde \\
 d &\mapsto abcdbcdedcdeabcdbcdedcde \\
 e &\mapsto abcdbcdedcdeabcdbcdedcde
 \end{aligned}$$

and

$a \mapsto abbbaa$
 $b \mapsto babbba$
 $c \mapsto bbbbaa$
 $d \mapsto bbaaba$
 $e \mapsto bbaaab$

Rampersad, Shallit and Wang's construction shows that the infinite binary full word $w_0 = \beta(\alpha^\omega(a))$ contains only the three squares aa, bb and $abab$, showing as mentioned before that $g_0(n) = \infty$ for all integers $n \geq 3$. We now construct infinite binary partial words with one or two holes such that aa, bb and $abab$ are the only full squares compatible with their factors, showing that $g_1(n) = g_2(n) = \infty$ for all integers $n \geq 3$.

Theorem 1. *The only full squares compatible with factors of $w_1 = \diamond\beta(\alpha^\omega(a))$, an infinite binary partial word with one hole, are aa, bb and $abab$.*

Proof. Let us assume that there are more than three full distinct squares compatible with factors of w_1 . Since w_0 contains only aa, bb and $abab$ as squares, there exists in w_1 a square of the form $\diamond ua_0u'$, with $\diamond u \uparrow a_0u'$ and $ua_0u'a_1$ prefix of w_0 , where $u = u'$ are non-empty words, and a_0, a_1 are letters from $\{a, b\}$. Moreover, we can express $|u| = 6q + r$, for some integers q, r with $0 \leq r < 6$, where q represents the number of blocks of length 6 created by the images of the morphism β . Computer programs showed that actually $q \geq 2$. If $r = 0$, that is $u = \beta(x)$, for some word $x \in \{a, b, c, d, e\}^+$, since $u = u'$ is a prefix of w_0 and $|u| = 6q$, it follows that for some letter $f \in \{a, b, c, d, e\}$, $\beta(f)$ is a prefix of $a_0\beta(a)$. This is impossible since we do not have a letter whose morphism through β is $aabbba$ or $babbba$. If $r = 5$, then for some word $x \in \{a, b, c, d, e\}^+$, $ua_0 = \beta(x)$. But since the images of all letters after applying the morphism β have the first five characters different and $u = u'$, it must be the case that $a_1 = a_0$. This implies that w_0 contains the square $ua_0u'a_1$, which is a contradiction with the fact that w_0 has factors compatible with only the full squares aa, bb and $abab$.

Hence, $0 < r < 5$. Since $\beta(ab)$ is a prefix of u , it follows that there exist letters b_0, b_1, b_2 , such that $\beta(ab)$ is an internal factor of $\beta(b_0b_1b_2)$ (where $\beta(b_0)$ is the block starting at position $|u| - r$ in u and $\beta(b_1b_2)$ are the first two blocks starting at position $5 - r$ in u'). Note that $b_0 \neq b_1$ and $b_1 \neq b_2$, since two consecutive letters in $\alpha^\omega(a)$ are always different. Checking all options, the only possibility for this to happen is when $b_0 = e, b_1 = d$ and $b_2 = e$. Yet, according to the definition of α , $\alpha^\omega(a)$ does not contain ede as a factor, so we get a contradiction.

Since all cases lead to contradiction, we conclude that the only full squares compatible with factors of w_1 are $aa, bb, abab$. \square

Theorem 2. *The only full squares compatible with factors of the word $w_2 = \diamond\diamond\beta(\alpha^\omega(a))$, an infinite binary partial word with two holes, are aa , bb and $abab$.*

Proof. Let us assume that there are more than three full distinct squares compatible with factors of w_2 . According to Theorem 1, it follows that there exists in w_2 a square of the form $\diamond\diamond ua_0a_1u'$, with $ua_0a_1u'a_2a_3$ prefix of w_0 , where $u = u'$ are non-empty words, and a_0, a_1, a_2 and a_3 are letters from $\{a, b\}$. Let us refer to the notation used in the proof of Theorem 1. Using a computer we found that $q > 5$. If $r = 0$, we have that for some letter $f \in \{a, b, c, d, e\}$, $\beta(f)$ is a prefix of $a_0a_1\beta(a)$. Again we reach a contradiction since $abbb$ is not a suffix of any of the images of β . If $r = 5$, then for some letter $f \in \{a, b, c, d, e\}$, $\beta(f)$ is a prefix of $a_1\beta(a)$, which is a contradiction.

If $r = 4$, then either $a_0a_1 = ab$ or $a_0a_1 = ba$. In order to avoid getting a square in w_0 , it must be the case that $a_2a_3 = ba$, or $a_2a_3 = ab$ respectively. Hence, if the block starting in u at position $|u| - r$ is $\beta(d)$, respectively $\beta(e)$, then the block starting in u' at position $|u'| - r$ is $\beta(e)$, respectively $\beta(d)$. By the definition of α , we know that $\beta(e)$ is always preceded by $\beta(d)$. This implies that either u or u' will end in $\beta(dd)$, which is a contradiction since w_0 only contains the squares $aa, bb, abab$.

Since the last case of $0 < r < 4$ is similar to the one in the proof of Theorem 1, we conclude that the only squares compatible with factors of w_2 are aa, bb and $abab$. \square

We next construct arbitrarily long binary partial words with three or four holes such that aa, bb and $abab$ are the only full squares compatible with factors of them. We also show that no infinite binary partial word exists with three or four holes and with at most three distinct full squares compatible with factors of it, showing that $g_3(3), g_4(3)$ are undefined. We first prove two lemmas.

Lemma 1. *Let m be an arbitrarily large positive integer. The only full squares compatible with factors of $w_3 = \beta(\alpha^m(a))\diamond$, a binary partial word with one hole, are aa, bb and $abab$.*

Proof. Considering that $\beta(a) = \text{rev}(s(e))$ and $\beta(b) = \text{rev}(s(d))$, where rev denotes the reversal of words and $s(a) = b$ and $s(b) = a$, and the fact that only the first two letters of an α image are used, gives quite straightforwardly that Lemma 1 is just a symmetric to Theorem 1. \square

Lemma 2. *Let m be an arbitrarily large positive integer. The only full squares compatible with factors of $w_4 = \beta(\alpha^m(a))\diamond\diamond$, a binary partial word with two holes, are aa , bb and $abab$.*

Proof. The proof is similar to that of Lemma 1, the result here being the symmetric of Theorem 2. \square

Theorem 3. *There exist arbitrarily long binary partial words with three or four holes, where aa , bb and $abab$ are the only full squares compatible with factors of them.*

Proof. Let m be an arbitrarily large positive integer. We will show that aa , bb and $abab$ are the only full squares compatible with factors of $\diamond\diamond w_4 = \diamond\diamond\beta(\alpha^m(a))\diamond\diamond$ (for three holes, take $\diamond w_4$). For the sake of contradiction, let us assume that $\diamond\diamond\beta(\alpha^m(a))\diamond\diamond$ has a factor v_0v_1 that is compatible with a full square other than aa , bb and $abab$. By Theorems 1, 2 and Lemmas 1 and 2, v_0v_1 has at least one hole at both ends. Since α is a 24-uniform morphism and β is a 6-uniform morphism, $\beta(\alpha^m(a))$ is of length 6×24^m . Therefore, v_0v_1 must have the same number of holes at both ends since squares are of even length. Let u and u' denote words of equal length in the following two cases (note that the length of u is divisible by 6, that is, u and u' consist of full blocks only):

If $v_0v_1 = \diamond\diamond uu'\diamond\diamond$, then $\diamond\diamond u \uparrow u'\diamond\diamond$. Since $\beta(a)$ is a prefix of u but none of the images of β have abb as a suffix, this is a contradiction.

If $v_0v_1 = \diamond uu'\diamond$, then $\diamond u \uparrow u'\diamond$. Since $\beta(a)$ is a prefix of u but none of the images of β have $abbba$ as a suffix, this is a contradiction. We conclude that aa , bb , $abab$ are the only full squares compatible with factors of $\diamond\diamond w_4$. \square

Using a computer program, we found that all binary partial words of the form $u_0\diamond u_1$ with $|u_0| = |u_1| = 8$ have more than three distinct squares compatible with factors of them. This implies that all arbitrarily long partial words with at most three distinct full squares compatible with factors of them and at least three holes should have the holes placed within the first or the last eight positions. Again, using a computer program, we found that all binary partial words with at least three holes within the first eight symbols and no more than three distinct full squares compatible with factors of them have maximum length thirteen. This gives us the next two straightforward results.

Proposition 1. *All infinite binary partial words with more than two holes have more than three distinct full squares compatible with factors of them.*

Proposition 2. *All binary partial words with more than four holes have more than three distinct full squares compatible with factors of them.*

Thus for $h > 4$, $g_h(n) = 0$ for all non-negative integers $n \leq 3$.

We actually found a construction for a binary word with infinitely many holes such that the only full squares compatible with factors of it are aa , bb , $abab$ and $bbbb$. This shows that when $n \geq 4$, $g_h(n) = \infty$ for all integers $h \geq 3$. We use the morphism α and a slightly modified version of the morphism β , $\hat{\beta} : \{a, b, c, d, e\}^* \rightarrow \{a, b, \diamond\}^*$, defined as follows:

$$\begin{aligned} a &\mapsto \diamond b b b a a \\ b &\mapsto b a b b a a \\ c &\mapsto b b b a a a \\ d &\mapsto b b a a b a \\ e &\mapsto b b a a a b \end{aligned}$$

Theorem 4. *The only full squares compatible with factors of $w_5 = \hat{\beta}(\alpha^\omega(a))$, a binary partial word with infinitely many holes, are aa , bb , $abab$ and $bbbb$.*

Proof. Let uu' be a factor of w_5 such that $u \uparrow u'$. Using a computer program, we checked that no other full squares of length less than 30 are compatible with factors of w_5 . In order to prove that no full squares besides aa , bb , $abab$ and $bbbb$ are compatible with factors of w_5 , it suffices to show that for each hole in u , the corresponding character in u' is a , and vice versa. In other words, replacing the holes with a 's would transform w_5 into w_0 , which we know has only the three full squares aa , bb , $abab$. Assume that a hole in u is replaced by b in u' (the case of a hole in u' being identical).

If there exists a hole at position i in uu' , for some integer $i < |u| - 6$, then u has a factor $\diamond b b b a a b$, since $\hat{\beta}(a)$ cannot be followed by another $\hat{\beta}(a)$. Hence, there is a corresponding factor $b b b b a a b$ in u' . Since this can be obtained only through $\hat{\beta}(ec)$, a contradiction follows by the definition of α .

If there exists a hole at position i in uu' , for some integer $i \geq |u| - 6 \geq 9$, then the hole is preceded by $v = a a a b a b b a a$ or $v' = a b a b b a a a b$, which are suffixes of $\hat{\beta}(cb)$ or $\hat{\beta}(de)$ respectively, the latter images always preceding $\hat{\beta}(a)$. Since a factor compatible with v or v' is followed by a \diamond or an a , we get the desired result. \square

Note that Theorem 4 extends to partial words with infinitely many holes the surprising result of Entringer, Jackson and Schatz stating that there exists an infinite binary word that avoids all squares xx such that $|x| \geq 3$, and that the bound 3 is best possible [6].

3 Avoiding Large Squares in Cube-Free Binary Partial Words

In [5], Dekking shows that there exists an infinite cube-free binary word that avoids all squares xx such that $|x| \geq 4$. Dekking also proves that the bound 4 is best possible. Using a computer program, we found that all words w with the property above and with length $|w| \geq 114$ must indeed contain all squares $|x| \leq 3$ except $(aa)^2$, $(bb)^2$, $(aaa)^2$ and $(bbb)^2$. Note that a word containing $(aa)^2$, $(bb)^2$, $(aaa)^2$ or $(bbb)^2$ is not cube-free.

Remark 1. *All cube-free binary words of length greater than 113 avoiding all squares xx such that $|x| \geq 4$ must contain all of the following ten squares: a^2 , b^2 , $(ab)^2$, $(ba)^2$, $(aab)^2$, $(aba)^2$, $(abb)^2$, $(baa)^2$, $(bab)^2$ and $(bba)^2$.*

In [13], it is shown that the infinite full binary word $v_0 = \delta(\gamma^\omega(a))$ is cube-free and avoids all squares xx such that $|x| \geq 4$, where the 10-uniform morphism $\gamma : \{a, b, c, d\}^* \rightarrow \{a, b, c, d\}^*$ is defined by:

$$\begin{aligned} a &\mapsto adbacabacd \\ b &\mapsto adbacdabac \\ c &\mapsto acabadbacd \\ d &\mapsto acadabacab \end{aligned}$$

and the 6-uniform morphism $\delta : \{a, b, c, d\}^* \rightarrow \{a, b\}^*$ by:

$$\begin{aligned} a &\mapsto abaabb \\ b &\mapsto ababba \\ c &\mapsto abbaab \\ d &\mapsto abbaba \end{aligned}$$

Note that v_0 is prolongable on a (therefore $\delta(a) = abaabb$ is a prefix of v_0). Using the morphisms γ and δ , we will prove that there exist cube-free binary partial words that avoid all squares xx such that $|x| \geq 4$.

Theorem 5. *The only full squares compatible with factors of the word $v_1 = \diamond(\delta(a))^{-1}v_0$, an infinite cube-free binary partial word with one hole, are the ten squares in Remark 1.*

Proof. Let us assume there are more than ten full distinct squares compatible with factors of v_1 . Since v_0 only contains the ten squares in Remark 1, there must be a square of the form $\diamond ua_0u'$ with $\diamond u \uparrow a_0u'$, and $\diamond ua_0u'a_1$ a prefix of v_1 , where $u = u'$ are non-empty words and a_0, a_1 are letters from $\{a, b\}$. Moreover, by the construction of v_1 , we can express $|u| = 6q + r$,

for some non-negative integers q, r with $0 \leq r < 6$. Here, q represents the number of blocks of length 6 created by the images of the morphism δ . Using a computer program, we found $q > 2$. Since the prefix of $\gamma(a)$ is adb , by looking at the construction of v_1 , $\delta(db) = abbabaababba$ must be a prefix of u . If $r \neq 5$, this implies there exist letters b_0, b_1, b_2 such that $\delta(b_0b_1b_2) = y_0\delta(db)y_1$ where y_0, y_1 are words with $|y_0| \not\equiv 0 \pmod{6}$. This is impossible since none of the images of δ has b or aa as a prefix, nor is it $abaaba$. Therefore, $r = 5$. But, since all of the images of δ have unique 5-letter prefixes, this implies $a_0 = a_1$. This is a contradiction since now v_0 has the square $ua_0u'a_1$.

Since v_0 is cube-free and avoids all squares xx such that $|x| \geq 4$, to show that v_1 is cube-free it suffices to search for small cubes of length at most 18, which are prefixes of v_1 . \square

Theorem 6. *The only full squares compatible with factors of $v_2 = \diamond baabv_1$, an infinite cube-free binary partial word with two holes, are the ten squares in Remark 1.*

Proof. Let us assume there are more than ten full distinct squares compatible with factors of v_2 . Since, by Theorem 5, the only full squares compatible with factors of v_1 are the ten squares in Remark 1, there must be a square of the form $z \diamond uz_0a_0u'$ with $z \diamond u \uparrow z_0a_0u'$ where a_0 is a letter and u, u', z, z_0 are words such that, $u = u', z \uparrow z_0$, and z is a suffix of $\diamond baab$. Let $\diamond baab \diamond uz_0a_0u'z_1a_1$ be the prefix of v_2 , where $|z| = |z_1|$ and a_1 is a letter. Note that $0 < |z| \leq 5$, since $|z| = 0$ falls into the case of Theorem 5. Using the exact same notation and reasoning from the proof of Theorem 5, it must be that $r = 5 - |z|$. This implies that z_0a_0 is a suffix of an image of δ . If $|z| = 1$, then $r = 4$, meaning the last image of δ that starts in u has four symbols in u . Since all of the images of δ have unique 4-letter suffixes, this implies $z_0a_0 = z_1a_1$. So we have the square $uz_0a_0u'z_1a_1$ in v_1 . If $|z| = 2$, then $r = 3$, which implies that aba_0 must be a suffix of an image of δ (recall that $z_0 \uparrow z$). Since $v_2 \uparrow v_0$, we cannot have that $a_0 = b$, since this implies that, there exists a square $zbuz_0bu'$ in v_0 . Thus, $a_0 = a$, and therefore the last image of δ starting in u must be $abbaba$. But, this is also a prefix of u' , so we obtain the square $\delta(dd)$ in v_1 . If $3 \leq |z| \leq 5$, then according to the previous reasoning, $a_0 \neq b$, indicating one of the blocks ends in $aaba$. There exists no image of δ having $aaba$ as a suffix.

Since v_1 is cube-free and avoids all squares xx such that $|x| \geq 4$, to show that v_2 is cube-free it is enough to check the small cubes of length at most 12, which are prefixes of v_2 . \square

Theorem 7. *Let m be an arbitrarily large positive integer. The only full squares compatible with factors of $v_3 = \delta(\gamma^{3m+1}(a)(acd)^{-1})\diamond baab\diamond$, a cube-free binary partial word with two holes, are the ten squares in Remark 1.*

Proof. Let us assume there are more than ten full distinct squares compatible with factors of v_3 . Since v_0 only contains the ten squares in Remark 1 and since v_3 is compatible with a prefix of v_0 , there must be a square of the form $ua_1z_1u'\diamond z$ with $ua_1z_1 \uparrow u'\diamond z$ and $a_0z_0ua_1z_1u'\diamond z$ compatible with a factor of v_0 , where a_0, a_1 are letters, and u, u', z, z_0, z_1 are words such that $u = u', z \uparrow z_1, |z| = |z_0| = |z_1|$, and z is a prefix of $baab\diamond$. Note that $0 \leq |z| \leq 5$. Using a similar notation and reasoning as in the proof of Theorem 5, it must be that $r = 5 - |z|$. In this case we have that a_1z_1 is a prefix of an image of δ . If $0 \leq |z| \leq 4$, since a_1 is the first letter of an image of δ , we must have that $a_1 = a$. But, $a_1 \neq a$ since this implies v_0 has the square $uaz_1u'az$. If $|z| = 5$, this implies $a_1z_1 \uparrow \diamond baab\diamond$. The only image of δ that has $baab$ as an internal factor is $\delta(a) = abaabb$. Hence, $a_1z_1 = \delta(a)$. This is impossible since v_0 now has the square $u\delta(a)u'\delta(a)$.

Since v_3 avoids large squares, it must avoid large cubes. One can easily check v_3 avoids small cubes by looking at the morphisms that constructed v_3 . \square

Let us now look at the case of more than two holes. First, note that in a cube-free binary partial word, any letters adjacent to a hole must be different, and between any two holes there must be at least two different letters. Now, using a computer program, we found that there are at least eleven distinct full squares compatible with factors of any cube-free binary partial word of the form $u_0\diamond u_1$ with $|u_0| = |u_1| = 9$. This implies that any cube-free binary partial word that has at most ten distinct full squares compatible with factors of it must have all the holes in the first or last nine positions. It is then easy to check that it is impossible to avoid a cube in a word of length eleven with three holes in the first nine positions.

Proposition 3. \bullet *At least eleven distinct full squares are compatible with factors of any infinite cube-free binary partial word containing more than two holes.*

- \bullet *At least eleven distinct full squares are compatible with factors of any cube-free binary partial word with more than four holes.*

Theorem 8. *Let m be an arbitrarily large positive integer. The only full squares compatible with factors of $v_4 = \diamond baab\diamond\delta(a^{-1}\gamma^{3m+1}(a)(acd)^{-1})\diamond baab\diamond$,*

a cube-free binary partial word with four holes, are the ten squares in Remark 1.

Proof. Let us assume there are more than ten full distinct squares compatible with factors of v_4 . By Theorems 6 and 7, there must exist a square of the form $z u u' z'$ with $z u \uparrow u' z'$, for z a non-empty suffix of $\diamond b a a b \diamond$, z' a non-empty prefix of $\diamond b a a b \diamond$ and, $u u' = \delta(a^{-1} \gamma^{3m+1}(a)(a c d))^{-1}$. If it is not the case that $z = z' = \diamond b a a b \diamond$, since $\delta(d b)$ is a prefix of u and a suffix of u' , this implies that there exist letters b_0, b_1, b_2 such that $\delta(b_0 b_1 b_2) = y_0 \delta(d b) y_1$ for $|y_0| \not\equiv 0 \pmod{6}$. This is impossible since no image of δ has b or $a a$ as a prefix, nor is it $a b a b a$. If $z = z' = \diamond b a a b \diamond$, since $\delta(a)$ is the only image of δ that has $b a a b$ as an internal factor, $\delta(a)$ is a prefix of u' and a suffix of u . We get a contradiction since the square $\delta(a a)$ is now a factor of $u u'$, which is a factor of v_0 . \square

Next, we extend the result of Dekking by presenting a construction for a cube-free binary partial word with infinitely many holes that only has eleven distinct full squares compatible with factors of it. The squares are of the form $x x$, where $|x| \leq 4$ (the ten squares stated in Remark 1 plus $(a b b a)^2$). Recall that a word is (r^+, n) -free if it contains no repetition u^s with $|u| \geq n$ and $s > r$, where n is an integer, r is a rational number, and s is a real number. A word is r^+ -free if it is $(r^+, 1)$ -free. In [12], Ochem gives a non-iterative morphism $\tau : \{a, b, c\}^* \rightarrow \{a, b\}^*$ that, for any $\frac{7}{4}^+$ -free word $w \in \{a, b, c\}^*$, $\tau(w)$ is $\frac{5}{2}^+$ -free, $(\frac{7}{3}^+, 3)$ -free, and $(\frac{823}{412}^+, 4)$ -free. In order to make use of this morphism we will use a construction given in [4], in the context of repetition threshold. Here Dejean gives an iterative morphism on $\{a, b, c\}^*$ that preserves $\frac{7}{4}^+$ -freeness:

$$\begin{aligned} a &\mapsto abcacbcabcbacbacba \\ b &\mapsto bcabacabcbacabacb \\ c &\mapsto cabcbabcbacbabcbac \end{aligned}$$

We replace an occurrence of an a with \diamond in $\tau(b)$ where τ is the original morphism of Ochem, and get the 103-uniform morphism $\hat{\tau} : \{a, b, c\}^* \rightarrow \{a, b\}^*$ defined as follows:

$$\begin{aligned} a &\mapsto aabaabbabaababbaabaabbabbaababaabbababbaabaabbabbaab \\ &\quad abbabaabbabbaabaabbababbaababaabbabbaabaabbabaabbabb \\ b &\mapsto aabaabbabaababbaabaabbabbaababaabbababbaabaabbabbaab \\ &\quad abbabaabbabbaabaabbabaababba\diamond baabbababbaababaabbabb \\ c &\mapsto aabaabbabaababbaabaabbabbaababaabbababbaabaabbabaaba \\ &\quad bbaabaabbabbaababbaabaabbabbaabaabbababbaababaabbabb \end{aligned}$$

In the following, let us define by v_5 the fixed point of Dejean's morphism. The infinite ternary word v_5 is square-free (and thus cube-free). Moreover, the infinite binary word $\tau(v_5)$ is cube-free and does not have squares of the form xx , where $|x| \geq 4$. We will prove that the only extra square $\hat{\tau}(v_5)$ has is $(abba)^2$.

Theorem 9. *There exists a cube-free binary partial word with infinitely many holes such that the only full squares compatible with factors of it are the ten squares in Remark 1 as well as the square $(abba)^2$.*

Proof. Assume for the sake of contradiction that there are more than eleven full distinct squares compatible with factors of $\hat{\tau}(v_5)$. Since $\hat{\tau}(v_5)$ has been obtained from $\tau(v_5)$ by replacing some a 's by \diamond 's, any square in $\hat{\tau}(v_5)$ not compatible with any of the ten above mentioned full squares must be of the form $u\diamond u'vvv'$ for some partial words u, u', v, v' such that $u\diamond u' \uparrow vvv'$, $|u| = |v|$ and $|u'| = |v'|$.

If $|u'| \geq 12$, then u' must have the factor of length 12 following the \diamond in $\hat{\tau}(b)$, $baabbababbaa$, as a prefix. Thus v' must have a prefix compatible with $baabbababbaa$. One can check that this pattern is compatible with only factors following either an a or a \diamond . If $|u| \geq 12$, then u must have $abbabaababba$ as a suffix (thus v must have a suffix compatible with $abbabaababba$). Again, it can be checked that this pattern is compatible with only factors preceding either an a or a \diamond .

Finally, we can check that if $|u| < 12$ and $|u'| < 12$, the only square in $\hat{\tau}(v_5)$ not compatible with any of the ten squares in Remark 1 is when $u = v = a$ and $u' = v' = ba$. \square

4 Conclusion

In this paper, we have given, in particular, a complete answer to the question of how the sequence $\{g_h(n)\}$ behaves. To summarize these results, we provide Table 1 for the $g_h(n)$'s where the undefined cases are denoted by $-$.

What if we increase the size of the alphabet? Is it possible to get fewer squares? An upper bound of two squares is given in the following theorem.

Theorem 10. [2, 8] *There exist infinitely many partial words with infinitely many holes over the three-letter alphabet $\{a, b, c\}$ such that the only full squares compatible with factors of it are bb and cc .*

Is it possible to reduce the bound to one square? In fact, any infinite partial word with a hole after the first position is such that at least two

$n \setminus h$	0	1	2	3	4	5	6	...
0	3	1	0	0	0	0	0	...
1	7	5	3	0	0	0	0	...
2	18	16	14	9	0	0	0	...
3	∞	∞	∞	—	—	0	0	...
4	∞	...						
5	∞	...						
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots

Table 1: The $g_h(n)$'s

distinct full squares are compatible with factors of it (hence any infinite partial word with more than one hole satisfies the property). To see this, assuming that there exists an infinite partial word u with a hole after the first position such that only one full square is compatible with factors of u , it must be the case that u has a factor of the form ∞ or $a \diamond b$ for some distinct letters a, b (yielding in both cases the full squares aa and bb), or $a \diamond a$ for some letter a (yielding the squares aa and $(ab)^2$, where b is a letter not necessarily distinct from a).

We now build an infinite partial word with one hole over the ternary alphabet $\{a, b, c\}$ such that the only full square compatible with factors of it is aa . By the above, the position of the hole must be the first. Let us first recall the morphism $\phi : \{a, b, c\}^* \rightarrow \{a, b, c\}^*$ with $\phi(a) = abc$, $\phi(b) = ac$ and $\phi(c) = b$, whose fixed point $\sigma = \phi^\omega(a)$ is square-free [10]. In addition, σ does not contain the factors aba and cbc .

Theorem 11. *The only full square compatible with factors of $\diamond \sigma$, an infinite partial word with one hole over the three-letter alphabet $\{a, b, c\}$, is aa .*

Proof. Let us assume that there exists a square in $\diamond \sigma$ other than $\diamond a$. It is easy to check that no such square occurs in the prefix of length 10 of $\diamond \sigma$. It follows that this square should be of the form $\diamond aueau'$, for some letter $e \in \{a, b, c\}$ and some non-empty words $u = u'$. Since σ is square-free, it follows that either $e = b$ or $e = c$. We know that $aueau'$ is a prefix of $\phi^m(a)$, for some integer m . It follows that after another m iterations we will get the prefix $\phi^m(au)\phi^m(e)\phi^m(a)$. Since $e = b$ or $e = c$, it follows that if m is even, then $\phi^m(e)$ ends in e . Hence, we get that σ contains the factor $e\phi^m(a)$. But since $aueau'$ is a prefix of $\phi^m(a)$, we get a contradiction with the fact that σ is square-free.

Thus, m is odd. Since ϕ is prolongable on a we have that $\phi^{m+1}(a) = \phi^m(a)\phi(v)$, for some word v . Moreover, a later iteration of our factor will appear somewhere in the word. Hence, from

$$\phi(\phi^m(e)\phi^m(a)) = \phi^{m+1}(e)\phi^{m+1}(a) = \phi^{m+1}(e)\phi^m(a)\phi(v)$$

we get that $\phi^m(a)$ is preceded by the last symbol of $\phi^{m+1}(e)$, which is e since m is odd. We get a contradiction since $eaueau'$ cannot be a factor of σ . \square

References

- [1] F. Blanchet-Sadri. *Algorithmic Combinatorics on Partial Words*. Chapman & Hall/CRC Press, 2008.
- [2] F. Blanchet-Sadri, R. Mercas, and G. Scott. A generalization of Thue freeness for partial words. *Theoretical Computer Science*, 410(8-10):793–800, 2009.
- [3] J. D. Currie. Open problems in pattern avoidance. *American Mathematical Monthly*, 100(1):790–793, 1993.
- [4] F. Dejean. Sur un théorème de Thue. *Journal of Combinatorial Theory*, 13(1):90–99, 1972.
- [5] F. M. Dekking. On repetitions of blocks in binary sequences. *Journal of Combinatorial Theory*, 20(3):262–299, 1976.
- [6] R. C. Entringer, D. E. Jackson, and J. A. Schatz. On nonrepetitive sequences. *Journal of Combinatorial Theory*, 16(2):159–164, 1974.
- [7] A. S. Fraenkel and R. J. Simpson. How many squares must a binary sequence contain? *Electronic Journal of Combinatorics*, 2:R2, 1995.
- [8] V. Halava, T. Harju, and T. Kärki. Square-free partial words. *Information Processing Letters*, 108(5):290–292, 2008.
- [9] T. Harju and D. Nowotka. Binary words with few squares. *Bulletin of the European Association for Theoretical Computer Science*, 89:164–166, 2006.
- [10] M. Lothaire. *Combinatorics on Words*. Cambridge University Press, 1997.

- [11] F. Manea and R. Mercas. Freeness of partial words. *Theoretical Computer Science*, 389(1-2):265–277, 2007.
- [12] P. Ochem. A generator of morphisms for infinite words. *Theoretical Informatics and Applications*, 40(3):427–441, 2006.
- [13] N. Rampersad, J. Shallit, and M.-W. Wang. Avoiding large squares in infinite binary words. *Theoretical Computer Science*, 339(1):19–34, 2005.
- [14] A. Thue. Über die gegenseitige Lage gleicher Teile gewisser Zeichenreihen. *Norske Vid. Selsk. Skr. I, Mat. Nat. Kl. Christiana*, 1:1–67, 1912. (Reprinted in *Selected Mathematical Papers of Axel Thue*, T. Nagell, editor, Universitetsforlaget, Oslo, Norway (1977), pp. 413–478).