### k-Abelian Pattern Matching

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A joint work with Thorsten Ehlers, Florin Manea, and Dirk Nowotka Words u and v over  $\Sigma$  are *abelian equivalent* if  $|u|_a = |v|_a$  for every  $a \in \Sigma$ .

#### DEFINITION

Two words *u* and *v* are *k*-abelian equivalent:

• if 
$$|u|_t = |v|_t$$
 for every word t of length at most k.

▶ if  $|u|_t = |v|_t$  for every word t of length k,  $\operatorname{pref}_{k-1}(u) = \operatorname{pref}_{k-1}(v)$ and  $\operatorname{suff}_{k-1}(u) = \operatorname{suff}_{k-1}(v)$ .

We denote this by  $u \equiv_k v$ .

A *k*-abelian nth power is a word  $u_1u_2...u_n$ , where  $u_1, u_2..., u_n$  are *k*-abelian equivalent.

$$u \equiv_3 v$$
  
 $u = abbbaaaba, v = abaaabbba, and k = 3$ 

$$(u,3) = \{ (aaa,1), (aab,1), (aba,1), (abb,1), (bba,1), (bbb,1) \} = (w,3) \\ (u,2) = \{ (aa,2), (ab,2), (ba,2), (bb,2) \} = (w,2) \\ (u,1) = \{ (a,5), (b,4) \} = (w,1)$$

$$x \neq_2 v$$
  
 $x = aba, y = bab, and k = 2$   
 $(x = 2) - \{(ab = 1), (ba = 1)\} - (x = 2)$ 

$$(x, 1) = \{(a, 2), (b, 1)\} \neq \{(a, 1), (b, 2)\} = (y, 2)$$

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### [Halava et al.: Local Squares, Periodicity and Finite Automata, 2011]

- 2-abelian cubes avoidable on binary alphabet, 3-abelian squares avoidable on ternary alphabet
- complexity function is somewhere between that of equality and classical abelian operation
- ▶ variations of Morse-Hedlund Theorem for *k*-abelian equivalence

For a pattern  $P \in \Sigma^*$  and a text  $T \in \Sigma^*$ , we can identify all factors of T that are abelian equivalent to P in  $\mathcal{O}(|T| + |P|)$  time.

#### Theorem

For a word  $w \in \Sigma^*$ , we can identify all factors of w that are abelian repetitions in  $\mathcal{O}(|w|^2)$  time.

Tool: the #(w, k) encoding

w = aabbccaabbcc and k = 2



# $\frac{7|1|8|2|9|3|10|4|12|6|11|5}{1|1|2|2|3|3|4|4|-|5|6|6}$

#(w,2) = 23456512346

#### Lemma

Let  $w \in \Sigma^*$  be a word of length n. We can compute #(w, k) in  $\mathcal{O}(n)$  time.

#### Lemma

Let  $u,v\in\Sigma^*$  be two words of length n. If  $u\equiv_k v$  for some k, then

$$u[1..k-1] = v[1..k-1], and \\ \#(u,k) \equiv_1 \#(v,k).$$

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#### Lemma

Let  $u, v \in \Sigma^*$  be two words of length n and k be a positive integer with  $1 \le k \le n$ . We can decide whether  $u \equiv_k v$  in  $\mathcal{O}(n)$  time.

#### Proof.

Construct w = u0v, and compute  $Suf_w$  and #(w, k). Set u' = #(w, k)[1..n - k + 1] and v' = #(w, k)[n + 2..2n - k + 2]. The fact that u' and v' contain exactly the same letters is equivalent to them having exactly the same multi-set of factors of length k.

Then 
$$u \equiv_k u$$
 if and only if

$$u[1..k-1] = v[1..k-1], \text{ and} u' \equiv_1 v'.$$

#### Remark

The k-abelian pattern matching problem can be reduced to the classical abelian pattern matching problem.

#### Theorem

For a pattern  $P \in \Sigma^*$ , a text  $T \in \Sigma^*$ , and an integer k, we can identify all factors of T that are k-abelian equivalent to P in  $\mathcal{O}(|T| + |P|)$  time.

#### THEOREM

For a word  $w \in \Sigma^*$  and an integer k, we can identify all k-abelian repetitions in w in  $\mathcal{O}(|w|^2)$  time.

Given two words of same length n, we can find the largest positive integer k such that the words are k-abelian equivalent linear time O(n).

Construct the word w = u0v, its  $Suf_w$ , and  $LCPref_w$  data structures. Set u' = #(w, k)[1..n - k + 1] and v' = #(w, k)[n + 2..2n - k + 2].

Set  $\ell = \min(\max_{pref}(u', v'), \max_{suff}(u', v')) + 1$ . Then  $k \leq \ell$ .

Going through  $Suf_w$  we do  $LCPref_w$  queries for the  $i^{th}$  suffix of u (in lexicographic order) and the  $i^{th}$  suffix of v. Let  $\ell'$  be the minimum value such that two such suffixes share a common prefix of length exactly  $\ell'$ , while both have length at least  $\ell' + 1$ .

Then  $k = \min\{\ell', \ell\}.$ 

#### Problem

Preprocess a word  $P \in \Sigma^*$  and a positive integer k such that when given a text  $T \in \Sigma^*$ , in letter by letter manner, to be able to answer, at each moment, queries asking whether the part of T read so far ends with a factor which is k-abelian equivalent to P or not.

Construct the #(P, k-1) and #(P, k) encodings over  $\{1, \ldots, \ell_2, \ldots, \ell_1\}$ .

Compute  $L = \{(i, a, j) \mid 1 \le i \le \ell_1, 1 \le j \le \ell_2, a \in \Sigma, \text{ and } f_1[i]a = f_2[j]\}$ , where  $f_1[i](f_2[i])$  is the factor of length k - 1 (k) of P, whose position in the lexicographically ordered set of all factors of length k - 1 (k) of P is i.

# **Online Solution**

Different implementations for L

- ▶ An  $m \times \sigma$  table *M*, with M[i][a] = j if and only if  $(i, a, j) \in L$ .
- A hash table, using perfect hashing.
- A van Emde Boas tree associating to each *i* a pair  $(a, \cdot)$ , if this exists.

Compute for each  $1 \le j \le \ell_2$  the values suf[j],  $pref[j] \le \ell_1$ , such that suf[j] = i if  $f_2[j] = af_1[i]$  for  $a \in \Sigma$ , while pref[j] = i if  $f_2[j] = f_1[i]a$ .

If last read part of T is  $\#(P', k) = j_1 \dots j_{m-k} j_{m-k+1}$  and we read letter a, then the new last part is  $\#(P'', k) = j_2 \dots j_{m-k} j_{m-k+1} suf[j_{m-k+1}]a$ .

- If (suf[j<sub>m-k+1</sub>], a, ·) ∈ L, then use real-time abelian pattern matching between #(P", k) and #(P, k).
- If (suf[j<sub>m-k+1</sub>], a, ·) ∉ L, then use real-time pattern matching between the suffix of length k − 1 of the read text and P[1..k − 1].

Given a pattern  $P \in \Sigma^m$  for  $|\Sigma| = \sigma$ , and a positive integer k, the online k-abelian pattern matching problem can be solved in:

- $\mathcal{O}(m\sigma)$  preprocessing time,  $\mathcal{O}(m\sigma)$  space, and  $\mathcal{O}(1)$  query time.
- ▶  $\mathcal{O}(m \log \log m)$  preprocessing time,  $\mathcal{O}(m)$  space, and  $\mathcal{O}(1)$  query time.
- ▶  $\mathcal{O}(m)$  expect. preprocessing time,  $\mathcal{O}(m)$  space, and  $\mathcal{O}(1)$  query time.
- $\mathcal{O}(m)$  preprocessing time,  $\mathcal{O}(m)$  space, and  $\mathcal{O}(\log \log \sigma)$  query time.

#### Problem

Preprocess a word  $P \in \Sigma^*$  and a positive integer k such that when given a text  $T \in \Sigma^*$ , in letter by letter manner, to be able to answer, at each moment, queries asking whether the part of T read so far ends with a factor which is extended-k-abelian equivalent to P or not.

$$x \neq 2 v$$
  
 $x = aba, y = bab, and k = 2$   
 $(x, 2) = \{(ab, 1), (ba, 1)\} = (y, 2)$   
 $(x, 1) = \{(a, 2), (b, 1)\} \neq \{(a, 1), (b, 2)\} = (y, 2)$   
 $x \equiv 2 v$ 

# Online Solution for extended case

Extra tools:

- ► Suffix tree for P
- Associate to a node of the suffix tree of P the factor P[i..j] iff the path from the root to that node is labelled with P[i..j].
- ▶ Suffix links (from the node with label *aX* go to the node with label *X*)
- Let  $N_1$  be the lowest explicit ancestor of N the node for  $P[i..i + \ell 1]$ .
- If exists and edge labeled a = T[j+1] from N to M, then T[1..j+1] has the suffix  $P[i..i+\ell-1]a$  and we update the current node to M.
- Otherwise, let  $N_2$  be the target-node of the suffix link of  $N_1$ . Advance along the edge leaving  $N_2$ , and update each node we find to be the current  $N_2$  until no longer possible. The current  $N_2$  is the lowest explicit ancestor of the node corresponding to  $P[i + 1..i + \ell - 1]$ .
- Update i = i + 1 and  $N = N_2$ , and restart from step 2.

Given a pattern  $P \in \Sigma^*$  of length n for  $|\Sigma| = \sigma$ , and a positive integer k, the online extended-k-abelian pattern matching problem can be solved in:

- ▶  $\mathcal{O}(m\sigma)$  preprocessing time,  $\mathcal{O}(m\sigma)$  space, and  $\mathcal{O}(n)$  time.
- ▶  $\mathcal{O}(m \log \log m)$  preprocessing time,  $\mathcal{O}(m)$  space, and  $\mathcal{O}(n)$  time.
- $\mathcal{O}(m)$  expected preprocessing time,  $\mathcal{O}(m)$  space, and  $\mathcal{O}(n)$  time.
- ▶  $\mathcal{O}(m)$  preprocessing time,  $\mathcal{O}(m)$  space, and  $\mathcal{O}(n \log \log \sigma)$  time.

## Real-time solution for extended case

Idea: report only the factors of T that are extended-k-abelian equivalent to P (whenever a length k factor of P is found).

### LEMMA (GAWNICLEW14)

We can preprocess a word  $P \in \Sigma^*$  in  $\mathcal{O}(|P| \log k)$  time and linear space such that, for each *i* and *j* with  $j - i \leq k$ , we can return in  $\mathcal{O}(1)$  time the (explicit or implicit) node of the suffix tree of P corresponding to P[i..j].

Use a queue to add the current letter and 2 more operations:

- dequeue and check whether the current factor of *P* be extended;
- update the *P*-suffix and its representation accordingly, dequeue, and check whether the new current factor of *P* can be extended.

Enough, since not being able to extended for some length  $\ell$ , the number of suffixes of factors of P we have to check until reaching again length  $\ell$  equals the number of letters read between these two moments

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Given a pattern  $P \in \Sigma^*$  of length n for  $|\Sigma| = \sigma$ , and an integer k, the real-time extended-k-abelian pattern matching problem can be solved in:

- ▶  $\mathcal{O}(m(\sigma + \log k))$  preproc. time,  $\mathcal{O}(m\sigma)$  space, and  $\mathcal{O}(1)$  query time.
- ▶  $\mathcal{O}(m(\log(k \log m)))$  preproc. time,  $\mathcal{O}(m)$  space, and  $\mathcal{O}(1)$  query time.
- ▶  $\mathcal{O}(m \log k)$  expec. preproc. time,  $\mathcal{O}(m)$  space, and  $\mathcal{O}(1)$  query time.
- ▶  $\mathcal{O}(m \log k)$  preproc. time,  $\mathcal{O}(m)$  space, and  $\mathcal{O}(\log \log k)$  query time.

Idea: construct #(T, k - 1) and #(T, k) and  $L = \{(i, a, j)\}$ , as before.

Find a location of P[1..k-1] in T in O(k) time. Reading P[k..m] letter by letter (checking against L) we produce #(P, k). The problem is reduced to producing an index of #(T, k), useful to check efficiently whether a factor is abelian equivalent to #(P, k).

The preprocessing time for building an index for the *k*-abelian pattern matching problem is O(n) expected or  $O(n \log \log n)$  deterministic time. The query time is O(n + m - k + 1).

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