

Ternary is Still Good for Parikh Matrices

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1 Preliminaries

2 \mathbb{P} -Distinguishability

3 Minimal Hamming Distances

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Compression

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Lossless techniques

- ▶ Lempel-Ziv factorization LZ77 [Lempel and Ziv, 1976, Lempel and Ziv, 1977];
- ▶ Straight Line Programs [Kieffer and Yang, 2000];
- ▶ run-length Burrows-Wheeler transform [Burrows and Wheeler, 1994];
- ▶ Compact Directed Acyclic Word Graph [Blumer et al., 1987], [Crochemore and V erin, 1997].

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- ▶ Parikh vectors [Parikh, 1966] represent a type histograms specific to the analysis of sequences of symbols;
- ▶ compression is easily computed and guaranteed to be logarithmic in the size of the word, but ambiguous (multiple words share a Parikh vector).
- ▶ Parikh matrices [Mateescu et al., 2000] are a refinement of the vectors;
- ▶ same asymptotic compactness as Parikh vector and significantly smaller number of words associated to it;
- ▶ but it does not normally remove ambiguity (entirely).

Example

Definition

Let $w \in \Sigma_k^*$. The *Parikh matrix*, denoted $\Psi(w)$, that w is associated with has size $(k + 1) \times (k + 1)$. The diagonal of the matrix is populated with 1's and all elements below it are 0. The count of all subwords that consist of consecutive letters in Σ_k and are of length n in the word are found on the n -diagonal, for $1 \leq n \leq k$, i. e., the Parikh vector is found on the 1-diagonal.

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For $\Sigma_3 = \{a < b < c\}$ we consider all of the factors of abc .

Thus, for $w \in \Sigma_3$ the Parikh matrix is of size 4×4 and we count all occurrences of the above factors as (scattered) subwords:

$$\Psi(aabcbaa) = \begin{pmatrix} 1 & |w|_a & |w|_{ab} & |w|_{abc} \\ 0 & 1 & |w|_b & |w|_{bc} \\ 0 & 0 & 1 & |w|_c \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 4 & 4 & 2 \\ 0 & 1 & 2 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

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Parikh matrices are not injective, but also not surjective.

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$$\text{there exists no } w \text{ with } \Psi(w) = \left\langle \begin{array}{ccc} 1 & 0 & 1 \\ & 1 & 0 \\ & & 1 \end{array} \right\rangle$$

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Note

If two words share a Parikh matrix, we say that they are *amiable* (use \equiv).

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- ▶ *injectivity* was recently settled for ternary [Baek et al., 2024], [Salomaa, 2010], and higher alphabets (but using rational exponents) [Hahn et al., 2023].

Elementary equivalence preserving rules

Let $\Sigma = \{a_1 < a_2 < \dots < a_s\}$ and $w, w' \in \Sigma^*$.

E1. If $w = xa_ja_jy$ and $w' = xa_ja_iy$, for $x, y \in \Sigma^*$ & $|i-j| \geq 2$, then $w \equiv w'$.

$$\psi(aaaacb) = \psi(aaacab) = \psi(aacaab) = \psi(acaaab) = \psi(caaaab)$$

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Theorem ([Atanasiu, 2007], [Mateescu and Salomaa, 2004])

Let $\Sigma = \{a < b\}$ and $w, w' \in \Sigma^*$. Then $w \equiv w'$ if and only if w can be obtained from w' by finitely many applications of Rule *E2*. As a consequence, the following are all unambiguous words over Σ :

$$\lambda, a^\alpha, b^\alpha, a^\alpha b^\beta, b^\alpha a^\beta, a^\alpha b a^\beta, b^\alpha a b^\beta, a^\alpha b a b^\beta, b^\alpha a b a^\beta, \quad \alpha, \beta \geq 1.$$

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Let $S \subset \Sigma_n$ such that $S = \{a_{k_1}, a_{k_2}, \dots, a_{k_m}\}$. We define the P-Parikh matrix of the word w with respect to S as $\Psi_S(\pi_S(w))$, where the morphism

$\pi : \Sigma_n^* \rightarrow \Sigma_m^*$ is defined as

$$\pi_S(a_i) := \begin{cases} a_i & : a_i \in S, \\ \varepsilon & : a_i \notin S. \end{cases}$$

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Let $\Sigma_5 = \{a, b, c, d, e\}$, $S = \{a, d, e\}$, and $w = bacbebdba$.

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$$\Psi_S(bacbebdba) = \Psi_S(\pi_{\{a,d,e\}}(bacbebdba)) = \Psi_S(acba) = \left\langle \begin{matrix} 2 & 1 & 0 \\ 1 & 0 & \\ & & 1 \end{matrix} \right\rangle$$

When do \mathbb{P} -Parikh Matrices reduce ambiguity, and when not?

Example

Let $w = abd$, and $w' = adb$ in Σ_4 . Then w and w' are amiable. Note that w contains the factor bd , and we choose $S = \{b, d\}$.

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$$S = \{a\}, S = \{b\}, S = \{c\} : \Psi_S(w) = \left\langle \begin{array}{c} 1 \\ 2 \\ 1 \end{array} \right\rangle = \Psi_S(w')$$

When do \mathbb{P} -Parikh Matrices reduce ambiguity, and when not?

Example

Let $w = abd$, and $w' = adb$ in Σ_4 . Then w and w' are amiable. Note that w contains the factor bd , and we choose $S = \{b, d\}$.

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When do P-Parikh Matrices reduce ambiguity, and when not?

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$$S = \{b, c\} : \pi_S(w) = cbbc = \pi_S(w')$$

Ambiguity and equivalence

Definition ([Dick et al., 2021])

Let Σ be an ordered alphabet and $w, w' \in \Sigma^*$. We say that w and w' are \mathbb{P} -*distinct* if $\Psi_S(\pi_S(w)) \neq \Psi_S(\pi_S(w'))$, for some $S \subseteq \Sigma$. When w is ambiguous, we say that $[w]$ is \mathbb{P} -*distinguishable* (or simply distinguishable) if there exists $w' \in [w]$ such that w and w' are \mathbb{P} -distinct. Otherwise, $[w]$ is \mathbb{P} -*indistinguishable*.

Definition

Let Σ be an ordered alphabet and $w, w' \in \Sigma^*$. We say that w and w' are \mathbb{P} -*equivalent* if $\Psi_S(\pi_S(w)) = \Psi_S(\pi_S(w'))$, for every $S \subseteq \Sigma$. Equivalently, in this case, w and w' are not \mathbb{P} -distinct.

Ambiguity reduction

Conjecture ([Dick et al., 2021])

Let Σ be an ordered alphabet and $w \in \Sigma^$ an ambiguous word. Then the class $[w]$ is \mathbb{P} -distinguishable if and only if $[w]$ is trivially \mathbb{P} -distinguishable.*

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Trivially distinguishable means that no application of Rule $E1$ is possible:

$\psi(aaabcccbbabbabc)$ is not \mathbb{P} -distinguishable;

$\psi(aaabcccbbabbacc)$ is \mathbb{P} -distinguishable .

Main result

Theorem

If $[w]$ is \mathbb{P} -distinguishable, for $w \in \Sigma_3^*$, then $[w]$ is trivially \mathbb{P} -distinguishable.

Proof Idea.

If $ac^n a$ or $ca^n c$ factors of $\pi_{a,c}(w)$, $n \geq 2$, then $[w]$ is trivially \mathbb{P} -distinguishable.

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If $w = a_{i_1}^{p_1} a_{i_2}^{p_2} \cdots a_{i_n}^{p_n}$, for $a_{i_1}, a_{i_2}, \dots, a_{i_n} \in \Sigma^*$ and integers $p_1, p_2, \dots, p_n \geq 0$ such that $a_{i_j} \neq a_{i_{j+1}}$, then the *print* of w is $\text{print}(w) = a_{i_1} a_{i_2} \cdots a_{i_n}$.

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If $|\text{print}(\pi_{a,c}(w))| = 2$ and $[w]$ not trivially \mathbb{P} -distinguishable, then for $w' \in [w]$, $\pi_{a,c}(w) = a^m c^n$ and $\pi_{a,c}(w') = c^n a^m$. But, $|w|_{abc} \geq 1$ and $|w'|_{abc} = 0$.

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If $|\text{print}(\pi_{a,c}(w))| = 3$, then $[w]$ is trivially \mathbb{P} -distinguishable or unambiguous.

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If $|\text{print}(\pi_{a,c}(w))| \geq 7$, then $[w]$ is trivially \mathbb{P} -distinguishable. □

Proof sketch: $|\text{print}(\pi_{a,c}(w))| = 4$

Assume $\pi_{a,c}(w) = a^m c a c^n$ and that $[w]$ is not trivially \mathbb{P} -distinguishable.

Without loss of generality, for some $\beta, \gamma, \delta \geq 1$ and $\alpha, \nu \geq 0$, we may assume

$$w = a^{m-1} b^\alpha a b^\beta c b^\gamma a b^\delta c b^\nu c^{n-1}.$$

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Case

$$\pi_{a,c}(w') = c^{n+1} a^{m+1}$$

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contradiction on equality over numbers of ab and bc in the suffix

Case

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contradiction on equality over numbers of ab and bc in words

Thus, if $[w]$ is \mathbb{P} -distinguishable, then $[w]$ is trivially \mathbb{P} -distinguishable.

Four is not good

Example

Consider the ordered alphabet $\Sigma = \{a < b < c < d\}$ and the words $w = bcbabc bcdcbcbabbcbccdcbb$ and $v = cbbabbcbcdccbc babc bcdcbcb$,

$$\Psi_{\Sigma}(w) = \Psi_{\Sigma}(v) = \begin{pmatrix} 1 & 2 & 13 & 51 & 36 \\ 0 & 1 & 11 & 61 & 51 \\ 0 & 0 & 1 & 10 & 11 \\ 0 & 0 & 0 & 1 & 2 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}.$$

Then $[w] = \{w, v\}$ is not trivially \mathbb{P} -distinguishable.

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Then $[w] = \{w, v\}$ is not trivially \mathbb{P} -distinguishable.

However, $[w]$ is \mathbb{P} -distinguishable since $|w|_x \neq |v|_x$, for $x \in \{ac, bd, acd, abd\}$.

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- 2 \mathbb{P} -Distinguishability
- 3 Minimal Hamming Distances
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Hamming distances for Parikh equivalence classes [Atanasiu et al., 2022]

Definition

Let Σ be an alphabet and $w, w' \in \Sigma^*$ with $|w| = |w'|$. The *Hamming distance* between w and w' , denoted $d_H(w, w')$, is the number of positions in which the corresponding letters of w and w' differ.

Definition

Let $\Sigma = \{a_1 < a_2 < \dots < a_s\}$ and take $w \in \Sigma^*$ to be ambiguous. The *minimal Hamming distance* of $[w]$, denoted $d_H([w])$, is defined by:

$$d_H([w]) = \min \{ d_H(w', w'') \mid w', w'' \in [w] \text{ and } w' \neq w'' \}.$$

Minimal Hamming distance

Example

We have $d_H(\text{aaaacb}, \text{aaacab}) = 2$ and $d_H([\text{aaaacb}]) = 2$, since

$$\psi(\text{aaaacb}) = \psi(\text{aaacab}) = \psi(\text{aacaab}) = \psi(\text{acaaab}) = \psi(\text{caaaab}).$$

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Consider $w = ab^{11}cbabcb^5$. Then $d_H([w]) = 7$, where
 $[w] = \{ b^i ab^{11-2i} cbab^{1+2i} cb^{5-i} \mid 0 \leq i \leq 5 \}$

see [Atanasiu et al., 2022, Example 5.1 & Theorem 5.2].

Second Main Result

Theorem (Conjectured in [Atanasiu et al., 2022])

Let $w \in \Sigma_3^*$ be ambiguous. Then $d_H([w]) \in \{2, 4, 7, 8\}$.

Proof.

$[w]$ is trivially \mathbb{P} -distinguishable if and only if $d_H([w]) = 2$.

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Conclusions (how come 3?)

It was conjectured in [Şerbănuță and Şerbănuță, 2006], that for any $u, v \in \Sigma^*$ and $a \in \Sigma$, for ordered Σ , if uav is ambiguous, then $uaav$ is also ambiguous.

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Theorem (for $w \in \Sigma_3^*$)

$[w]$ is \mathbb{P} -distinguishable if and only if $[w]$ is trivially \mathbb{P} -distinguishable.

Future work

- ▶ Figure a way to translate the injectivity to integer coefficients.
- ▶ Can we do upward projections (and how to define these)?
- ▶ Find the minimal Hamming distances for alphabets of size 4 or higher.
- ▶ Is there a fixed upper bound on the minimal Hamming distance of any Parikh equivalence class, for any ordered alphabet?

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Thanks, questions?